

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

**Inventor(s):** DAVIS et al.

**Serial No.:** unassigned

**Examiner:**

**Filing Date:**

**Group Art Unit:**

**Title:** STATUS POLLING FAILOVER OF DEVICES IN A DISTRIBUTED NETWORK MANAGEMENT HIERARCHY

**COMMISSIONER FOR PATENTS**  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

**Sir:**

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:  
 Statement under 37 CFR 1.97(e), or  
 a \$240.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97 (d) together with a:  
 Statement under 37 CFR 1.97(e), and  
 a petition under 37 CFR 1.97(d)(2), and  
 a \$130.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **ET027784032US**

Date of Deposit **07/13/01**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Jeannette Leveque

Typed Name: **Jeannette Leveque**

Respectfully submitted,

**DAVIS et al.**

By Renee' Larson

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1036 U.S.P.T.O.  
09/905307  
07/13/01

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10972013-2

SERIAL NO.

1036 U.S. PTO  
09/905307

APPLICANT

DAVIS et al.

FILING DATE

GROUP

07/13/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,650,940	07/22/97	TONOZUKA et al.	364	514 C
	1B	5,696,486	12/09/97	POLIQUIN et al.	340	506
	1C	5,729,472	03/17/98	SEIFFERT et al.	364	550
	1D	5,781,703	07/14/98	DESAI et al.	395	54
	1E	5,796,633	08/18/98	BURGESS et al.	364	551.01
	1F	5,964,831	10/12/99	KEARNS et al.	709	201
	1G	6,085,243	07/04/00	FLETCHER et al.	709	224
	1H	6,085,244	07/04/00	WOOKEY	709	224
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED